



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Stepan Sokolov

Attorney Docket No.: SUN1P842/P6723

Application No.: 09/939,106

Examiner: KANG, INSUN

Filed: August 24, 2001

Group: 2193

Title: FRAMEWORKS FOR GENERATION OF  
JAVA MACRO INSTRUCTIONS FOR STORING  
VALUES INTO LOCAL VARIABLES

Confirmation No. 3355

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on August 12, 2005 in an envelope addressed to the Commissioner for Patents, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

*Susan W. Xu*  
Susan W. Xu

**INFORMATION DISCLOSURE STATEMENT  
37 CFR §§1.56 AND 1.97(b)**

Mail Stop RCE  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of non-US Patents and Patent Application Publications are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is

believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. SUN1P842).

Respectfully submitted,  
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Form 1449 (Modified)

**Information Disclosure  
Statement By Applicant**

(Use Several Sheets if Necessary)

Atty Docket No.  
SUN1P842/P6723Application No.:  
09/939,106Applicant:  
Stepan Sokolov  
Filing Date  
August 24, 2001Group  
2193**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
A1	2002/0188726A1	12/12/02	Schick et al.				06/08/01
A2	4,910,731	03/20/90	Sakurai et al.				07/13/88
A3	5,418,964	05/23/95	Conner et al.				08/31/94
A4	5,815,718	09/29/98	Tock				05/30/96
A5	5,878,430	03/02/99	Lafuse				09/20/96
A6	5,899,997	05/04/99	Ellacott				04/01/97
A7	5,978,585	11/02/99	Crelier				03/27/97
A8	6,003,038	12/14/99	Chen				03/31/97
A9	6,021,469	02/01/00	Tremblay et al.				01/23/97
A10	6,026,237	02/15/00	Berry et al.				11/03/97
A11	6,047,125	04/04/00	Agesen et al.				10/01/97
A12	6,072,951	06/06/00	Donovan et al.				10/15/97
A13	6,075,942	01/13/00	Cartwright, Jr.				05/04/98
A14	6,151,703	11/21/00	Crelier				05/20/96
A15	6,163,780	12/19/00	Ross				04/01/98
A16	6,202,208 B1	03/13/01	Holiday, Jr.				09/29/98
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A18	6,317,872 B1	11/13/01	Gee et al.				04/06/98
A19	6,324,685 B1	11/27/01	Balassanian				03/18/98
A20	6,330,709 B1	12/11/01	Johnson et al.				03/30/98
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A22	6,339,841 B1	01/15/02	Merrick et al.				04/16/99
A23	6,372,286 B1	04/16/02	Azuma et al.				07/19/94
A24	6,374,286 B1	04/16/02	Gee et al.				04/06/98
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A26	6,442,753 B1	08/27/02	Gerard et al.				08/28/97
A27	6,446,084 B1	09/03/02	Shaylor et al.				09/22/98
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A31	6,553,565 B2	04/22/03	Click Jr. et al.				04/23/99
A32	6,557,023 B1	04/29/03	Taivalsaari				05/28/99
A33	6,557,054 B2	04/29/03	Reisman				04/20/00
Examiner				Date Considered			

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>Form 1449 (Modified)</b>		Atty Docket No. SUN1P842/P6723	Application No.: 09/939,106
<b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)		Applicant: Stepan Sokolov	
		Filing Date August 24, 2001	Group 2193

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A34	6,571,388 B1	05/27/03	Venkatraman et al.			03/09/99
	A35	6,584,612 B1	06/24/03	Mueller et al.			07/15/99
	A36	6,643,711 B2	11/04/03	Bracha et al.			03/19/02
	A37	6,704,803 B2	03/09/04	Wilson et al.			12/29/98
	A38	6,704,923 B1	03/09/04	Gosling			02/28/00
	A39	6,738,977 B1	05/18/04	Berry et al.			05/31/00
	A40	6,851,108 B1	02/01/05	Syme et al.			11/16/00
	A41	6,851,111 B2	02/01/05	McGuire et al.			12/15/00

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B1	EP0810522 A2	12/03/97	EPO				
	B2	EP0943989 A2	09/22/99	EPO				
	B3	WO99/31576	06/24/99	PCT				

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C1	Patrice Pominville, "Annotating Java Bytecode," Project Report, McGill University, Online, April 2000, pages 1-7. XP-002254149
	C2	Yourst, "Inside Java Class Files," Dr. Dobb's Journal, Jan. 1998, XP-002254150
	C3	Hummel et al., "Annotating the Java bytecodes in support of optimization," Concurrency: Practice and Experience, John Wiley and Sons, Vol. 9(11), November 1997, pages 1003-1016. XP-001131083
	C4	Lindholm et al., "The Java Virtual Machine Specification," Second Edition, April 1999, pages 117-132. XP-002254151
Examiner		Date Considered

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Applicant:

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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C5	Dahn, Markus, "Byte Code Engineering", 1999, Java Information Tag, Proceedings of JIT' 99: Java-Informations'Tage, Dusseldorf, Germany, Vol. 20-21, pp. 267-277, XP-002262007.
	C6	Bill Venners, "How the Java virtual machine handles method invocation and return" Java World, June 1997, pages 1-10
	C7	Goldberg, "A specification of java loading and bytecode verification," ACM, pp 49-58, 1998
	C8	Chang et al. "Type-Based Verification of Assembly Language for Compiler Debugging," ACM TLDI, pp 91-102, Jan. 2005
	C9	Qian et al., "A Formal Specification of Java™ Class Loading," ACM OOPSLA, pp 325-336, 2000
	C10	Krintz et al., "Reducing Transfer Delay Using Java Class File Splitting and Prefetching," ACM OOPSLA, pp276-291 11/1999
	C11	Chilimbi et al., "Cache-Conscious Structure Definition", P. 13-24, 1999 ACM
	C12	Nicol et al., "Empirical Study of parallel Trace-Driven LRU Cache Simulators", p. 166-169, 1995 IEEE
	C13	Office Action mailed August 27, 2004 from U.S. Application No. 09/939,310.
	C14	Amendment A in response to the Office Action mailed August 27, 2004 from U.S. Application No. 09/939,310.
	C15	Final Office Action mailed June 17, 2005 from U.S. Application No. 09/939,310.
	C16	Office Action mailed June 18, 2004 from U.S. Application No. 09/819,120.
	C17	Final Office Action mailed February 14, 2005 from U.S. Application No. 09/819,120.
	C18	Office Action mailed June 27, 2005 from U.S. Application No. 09/819,120.
Examiner		Date Considered

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